F. No. 16011/9/2019-T Government of India Ministry of Road Transport & Highways (Transport Section)

> Transport Bhawan, New Delhi Dated: 27th of November, 2020

To,

The Chief Secretaries of all State Governments / Union Territories

Subject: Motor Vehicle Aggregators Guidelines-2020

Sir/Madam,

Your kind attention is invited to the provision of Section 36 of the Motor Vehicles (Amendment) Act, 2019 which provides for the amendment of section 93 of the Motor Vehicles Act, 1988 relating to the Motor Vehicle Aggregator Guidelines. The amended provision provides that—"while issuing the license to an aggregator the State Government may follow such guidelines as may be issued by the Central Government".

2. The Ministry through the notification S.O. 4251 (E) dated 26th of November, 2020 has enforced the Section 36 of the Motor Vehicles (Amendment) Act 2019. In pursuance of the provision under Section 93 of Motor Vehicles Act,1988, this Ministry has issued the Motor Vehicle Aggregator Guidelines, 2020. A copy of the same is enclosed with this letter for perusal and further appropriate action. These guidelines will provide a guiding framework to the State Governments / UTs to consider for issuance of licenses as well as regulating the business being conducted by such Aggregators.

Yours faithfully,

18

(Priyank Bharti)
Joint Secretary (Transport)
Ph. No:011-23717294
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Encl.: As above

Copy to:

- Principal Secretary/Secretary (Transport) of all State Governments and UTs for information and necessary action
- 2. Technical Director, NIC, MORTH for uploading on the website of the Ministry



सी.जी.-डी.एल.-अ.-26112020-223348 CG-DL-E-26112020-223348

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सड़क परिवहन और राजमार्ग मंत्रालय

अधिसूचना

नई दिल्ली, 26 नवम्बर, 2020

का.आ. 4251(अ).— केंद्रीय सरकार, मोटर यान (संशोधन) अधिनियम, 2019 (2019 का 32) की धारा 1 की उपधारा (2) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए, 27 नवम्बर, 2020 को उस तारीख के रूप में नियुक्त करती है जिस तारीख को उक्त अधिनियम की धारा 36 प्रवृत्त होगी।

[सं. आरटी-16011/09/2019-टी (भाग)]

प्रियांक भारती, संयुक्त सचिव

MINISTRY OF ROAD TRANSPORT AND HIGHWAYS NOTIFICATION

New Delhi, the 26th November, 2020

S.O. 4251(E).—In exercise of the powers conferred by sub-section (2) of section 1 of the Motor Vehicles (Amendment) Act, 2019 (32 of 2019), the Central Government hereby appoints the 27th day of November, 2020 as the date on which the section 36 of the said Act shall come into force.

[No. RT-16011/09/2019-T (Part)] PRIYANK BHARTI, Jt. Secy.

MOTOR VEHICLE AGGREGATOR GUIDELINES- 2020



GOVERNMENT OF INDIA MINISTRY OF ROAD TRANSPORT & HIGHWAYS

Issued on

27th of November, 2020 (New Delhi)

INDEX

1.	Definitions	1
2.	Applicability	2
3.	Application for grant or renewal of licence and matter connected	2
4.	Eligibility of an Aggregator	3
5.	Conditions for grant of licence for Aggregator	
6.	State Governments to facilitate Implementation of Electric / Alternative fuel (such as bio-ethanol) two-wheeler taxis	
7.	Compliance with regard to Drivers	
8.	Compliance with regard to Vehicles	
9.	Compliances with regard to the Aggregator's App and Website	
10.	Compliances to ensure Safety	9
11.	Ride Pooling	9
12.	Non-Discrimination Policy to be followed by Aggregator	10
13.	Regulation of fares	10-11
14.	Cancellation of Rides	11
15.	Aggregation of non-transport Vehicles by Aggregators	11
16.	. Suspension of Aggregator License 1	
17.	. Cancellation of Aggregator License 1	
18.	Appeal	14
19.	Powers and Responsibilities of the State Government	14
20.	Fee for Aggregator	15
21.	Security Deposit for Aggregator	15
22.	Form I - Application for the Grant of License for Aggregator under the Central Aggregator Guidelines, 2020	16-17
23.	Form II - Application for the Renewal of License for Aggregator under the Central Aggregator Guidelines, 2020	18-19
24.	Form III - Licence for an Aggregator	20
25.	Form IV - Application for the issue of Duplicate Licence	21



Motor Vehicle Aggregator Guidelines, 2020

These are issued as guiding framework for State Governments, to consider for issuance of licenses to transport aggregators and for the purposes of regulating the business conducted by such aggregators, as per the Motor Vehicles (Amendment) Act, 2019 and its provision under the section 93 of the Motor Vehicles Act, 1988 which stipulates that "while issuing the license to an aggregate the State Government may follow such guidelines as may be issued by the Central Government".

1. Definitions:

- (1) "Aggregator", as defined in Section 1A of the Act, refers to a digital intermediary or market place for a passenger to connect with a driver for the purpose of transportation.
- (2) "App" means an electronic interface operated by the Aggregator or any third party on behalf of the Aggregator, which may be accessed either through a computer resource or a communication device.
- (3) "Area of Operation" shall have the meaning ascribed to 'area' under the Act.
- (4) "Computer resource" shall have the meaning ascribed to it under the Information Technology Act, 2000.
- (5) "Communication device" shall have the meaning ascribed to it under the Information Technology Act, 2000.
- (6) "Fare" means the total charges debited by the Aggregator to the Rider pursuant to the latter booking a ride through the Aggregator's App and completion of such ride.
- (7) "Fee" means the charges in respect of a license as prescribed under Clause 20 of these Guidelines.
- (8) "Form" means the form appended to these Guidelines.
- (9) "Licence" means the licence issued to an Aggregator by the State Government under Section 93 of the Act.
- (10) "Licensee" means an Aggregator who holds Licence issued by the State Government.
- (11) "Competent Authority" means the State Government or any other authority empowered by the State Government to issue Licence under Section 93 of the Act.
- (12) "On-Boarding" means the integration of a vehicle and Driver with the Aggregator and operating such vehicle with the Aggregator.
- (13) "Off-Boarding" means the segregation of an integrated vehicle from the Aggregator.
- (14) "Rating" means an assessment of the quality of a trip availed by a Rider, on the successful completion of the trip.
- (15) "Refresher Training Programme" means an annual training session for Drivers integrated with the Aggregator, for a period of atleast two days for cumulated



10 hours, delivered physically or virtually. The session shall include but not be limited to the course mentioned under the Induction Training Programme.

- (16) "Remedial Training Programme" means training course required to be compulsorily undertaken by Drivers whose Rating is below 2 percentiles from amongst all Drivers who are placed similarly in terms of the minimum duration of engagement with the Aggregator. Such duration shall be determined by the Aggregator.
- (17) "Rider" means a person who books a journey through the Aggregator App for availing the transportation provided by a Driver who is integrated with the Aggregator.
- (18) "Security Deposit" means the amount that shall be payable by an Aggregator applying for a License furnished as bank guarantee, unless provided otherwise.
- (19) "Service Provider Contract" means the agreed and executed between the Aggregator and the Driver specifying the contractual rights and obligations of both parties.
- (20) "State" includes a Union Territory.
- (21) "Surge pricing" means the output of an algorithm of an Aggregator, which automatically raises the price of a trip when demand outstrips supply within a fixed geographic area.
- (22) "Induction Training Programme" shall have the meaning ascribed under Clause 5(2).

2. Applicability:

The Guidelines may be applicable to Aggregators On boarding transport vehicles in the Area of Operation. The vehicles that may be integrated by the Aggregator shall include all motor vehicles under the Act and e-rickshaw.

3. Application for grant or renewal of License and matters connected therewith:

- (1) Any person may make an application for grant of License in Form I appended to these Guidelines, accompanied by proof of payment of appropriate fee and Security Deposit.
- (2)A License granted shall be valid for a period of five (5) years from the date of its issuance, subsequent to which it shall be renewed by the Competent Authority on an application for renewal made by the Aggregator in Form II appended to these Guidelines. However, it may be cancelled by the Competent Authority in accordance with Clause 16. For the purposes of such renewal, the Competent Authority shall examine the Aggregator's records of compliance with these Guidelines and the suspensions against such Aggregator.
- (3) The list of licenses issued by the Competent Authority under these Guidelines shall be uploaded and updated by the Competent Authority on the state transport portal of the state government.
- (4) If any of the conditions for grant of License specified under these Guidelines are not complied with by the applicant, the Competent Authority may reject such application after giving an opportunity of being heard.



- (5) On being satisfied that the applicant has complied with all the conditions specified for grant/ renewal of a license under these Guidelines, the Competent Authority shall issue a License to the applicant in Form III appended to these Guidelines.
- (6) A License issued under these Guidelines may be transferred on a joint application being made by the transferor and transferee subject to compliance with these Guidelines.
- (7) Where the License is lost or destroyed, an application for issue of a duplicate shall be made in Form IV appended to these Guidelines along with the prescribed fee. A duplicate License so issued shall be marked "Duplicate" in red ink.

4. Eligibility of an Aggregator:

- (1) The applicant shall be a company registered under the Companies Act 1956 or 2013 or a co-operative society registered under the Co-operative Societies Act, 1912 formed by an association of drivers or motor vehicle owners or such other association or a limited liability partnership under the Limited Liability Partnership Act, 2008.
- (2) The applicant shall have a registered office in India.
- (3) The applicant shall comply with all the applicable provisions prescribed under the Act and the Information Technology Act, 2000, including intermediary guidelines.
- (4) The applicant shall not integrate any driver or represent himself as an Aggregator unless he holds a valid License issued by the State Government.

5. Conditions for grant of License for Aggregator:

An applicant desirous of securing a License shall demonstrate compliance with the following:

- (1) Compliance with Clause 6, 7, 8 and 9 of these Guidelines;
- (2) Arrangement of a driving test facility with a simulator to test the driving ability of the concerned Driver with respect to the vehicle to be on boarded or outsource it to an authorized third party, and a set-up for conducting Induction Training Programme.

Explanation: Induction Training Programme refers to a compulsory (5) five-day training programme for cumulated thirty (30) hours conducted by the Aggregator prior to the commencement of on-boarding of vehicle, either independently or by liasoning with a professional institution for providing course compliant with National Skills Qualifications Framework (NSFQ). The broad details of the course shall be made available online for information of citizens. The course shall include but not be limited to apprising, educating and training the Drivers:

- (a) to efficiently use the Aggregator app;
- (b) on the provisions under the Motor Vehicles Act, 1988 and rules thereunder;
- (c) on road safety and first responder training for six (6) hours out of the total thirty (30) hours mentioned above;
- (d) on careful driving;
- (e) on motor vehicle maintenance;

- (f) on maintenance of health and hygiene;
- (g) on fuel efficient driving;
- (h) on familiarization with the routes in the Area of Operation;
- (i) on the terms and conditions of the contract between the driver and the aggregator;
- (j) on gender sensitization and safety of women and girl child.
- (3) The Aggregator shall be responsible to ensure that drivers who have been integrated with the Aggregator prior to the implementation of these Guidelines undergo the Induction Training Programme as mentioned above.
- (4) The Aggregator shall be required to commence its business operations 6 months from the grant of the Licence, in the absence of which the Licence shall be cancelled.
- (5) The Guidelines issued by the Ministry of Health / World Health Organization / or any concerned authority / organization in the interest of public health and safety especially in regard to COVID-19 or other such pandemic for precautionary steps like sanitization of motor vehicles and appropriate social distancing etc. are to be complied with. Further that this clause is to be adhered in cases of ridepooling in taxis also.
- 6. State Governments to facilitate Implementation of Electric/Alternative fuel (such as bio-ethanol) two-wheeler taxis:

This Ministry vide notification dated S.O. No. 5333(E) dated 18th October, 2018 has exempted the electric vehicles and vehicles running on Ethanol or Methanol from the requirements of Permit. The State Governments to facilitate operations of such vehicles.

7. Compliances with regard to Drivers:

- (1) The Aggregator shall ensure compliance with the following conditions, relevant to Drivers, prior to On-boarding of such Drivers:
 - (a) The Driver should hold a valid proof of identity being an EIC card or Aadhaar card or PAN card.
 - (b) The Driver shall be holder of a driving licence to drive the relevant vehicle (as applicable) and a badge (as may be applicable).
 - (c) The Driver shall have a minimum driving experience of 2 years. In case of the driving experience being less than 2 years, the Driver shall undertake a driver training facilitated by the Aggregator for a period of 15 days prior to On-boarding. This shall be in addition to the Induction Training Programme.
 - (d) The Driver shall be a holder of KYC compliant bank account or holder of Jan-Dhan account under the Pradhan Mantri Jan-Dhan Yojana, in accordance with the norms prescribed by Reserve Bank of India.
 - (e) The Driver of the vehicle shall not have been convicted within the past 3 years, for the offence of driving under the influence of drugs or alcohol, or



any cognizable offence under the Code of Criminal Procedure, 1973 or the Indian Penal Code, 1860 (as may be applicable) including fraud, sexual offences, use of a motor vehicle to commit a cognizable offence, a crime involving property damage or theft, acts of violence, or acts of terror.

- (f) The Driver shall undergo a complete medical examination, including eye check-up, by a hospital or medical institution prescribed by the Aggregator. Costs for such medical check-up shall be borne by the Aggregator.
- (g) Complete police verification of the identity of the Driver and his antecedents with a written record of such verification prior to fifteen days of on boarding. For facilitation of the same, the police authorities shall be provided access to the Aggregator's Application Programming Interface (API) by the Aggregator. Subsequent to such verification, the police authorities shall grant certificate of good moral character without any criminal record, to the Driver.
- (h) Execution of a valid enforceable Service Provider Contract with the relevant Driver in English and the language understood by the Driver, specifying all necessary terms and conditions applicable for On-boarding of vehicle and operating vehicles therein.
- (2) The Aggregator shall ensure compliance with the following conditions, relevant to Drivers, during operations:
 - (a) Ensuring a health insurance for each Driver integrated with the Aggregator for an amount not less than Rs. 5 Lakhs with base year 2020-21 and increased by 5% each year.
 - (b) Ensuring a term insurance for each Driver integrated with the Aggregator for an amount not less than Rs. 10 lakhs with base year 2020-21 and increase by 5% each year.
 - (c) Conducting Refresher Training Programme once a year. Record of such training sessions shall be documented and preserved for at least one year. The Aggregator may be permitted to collaborate and partner with any specialized institution, as deemed fit by the Aggregator, for providing such training.
 - (d) Ensuring that the Driver shall not be logged in for an aggregate of twelve (12) hours on a calendar day. A mandatory break of ten (10) hours for the Driver shall be imposed subsequent to a login extending twelve (12) hours
 - (e) The Aggregators to develop a mechanism on their respective App to ensure that Drivers engaged with more than one Aggregator do not drive beyond a cumulative period of 12 hours either on their or another Aggregators App so as to safeguard the Driver, passenger as well as road users.
 - (f) Maintaining and examining updated copies of the following records pertaining to the Drivers of vehicles (pursuant to due verification with the originals), regularly on the portal of SARATHI;
 - (g) a photograph of the Driver;
 - (h) driving licence;



- (i) present residential address alongwith proof;
- (j) RBI compliant KYC bank account details;
- (k) self-attested copies of EIC card or Aadhaar card or PAN card.
- (I) contact details and addresses of two members from the Driver's family.
- (m) Enabling the Drivers to operate with multiple Aggregators, provided each of them comply with the requirements and Driver training programmes relevant to each Aggregator.
- (n) Ensuring that on termination or end of the Service Provider Contract with a Driver, all equipment or brand stickers belonging to the Aggregator is removed and identity card or authorization issued to the Driver by the Aggregator is confiscated.
- (o) Implementing a mechanism in the App for rating of Driver by corresponding Rider with respect to a ride availed on the App, indicative of the Rider's experience of the ride and Driver's etiquette. The same shall be applicable to the Driver's rating for a Rider.
- (p) Further, Drivers with ratings below two (2) percentiles shall be required mandatorily to undertake the Remedial Training Programme until the completion of which such Driver shall be Off-boarded.

8. Compliances with regard to vehicles:

The following compliances with regard to a vehicle shall be ensured by an Aggregator as a pre-requisite for the purposes of integration with Aggregator:

- Valid registration of the vehicle;
- (2) Valid permit, as may be applicable;
- (3) Valid fitness certificate as obtained under the Act;
- (4) Requisite placement of the registration mark displayed in English and the figures in Arabic numerals displayed in such form and manner as specified in the Rules:
- (5) Valid third-party insurance;
- (6) Valid Pollution Under Control (PUC) certificate;
- (7) Compliance with emission norms of BS IV or above for motor cab and BS III or above for other vehicles;
- (8) Compliance with city specific fuel norms;
- (9) Updated payment of applicable taxes and other dues;
- (10) Clearance of pending e-challans applicable to the vehicle prior to integration of such vehicle;
- (11) Fitment of a AIS 140 Certified Vehicle Tracking and Monitoring System with panic buttons relevant for a Public Service vehicle, as specified by the Ministry of Road Transport & Highways, which shall be connected to the control room of the Aggregator;



- (13) Placement of a fire extinguisher;
- (14) Disabled child lock mechanism;
- (15) Enabled manual override for the central locking system;
- (16) Display inside the vehicle, except motor cycles, containing vehicle permit (as applicable) and copy of the Driver's driving license. The display shall be placed on the side of the passenger seat next to the Driver in such manner as shall be clearly visible to the passengers in the concerned vehicle.
- (17) Fitment of 'TAXI' roof sign visible from the front and rear on LMV, in compliance with Automotive Industry Standards (AIS) or any such standard specified.
- Ouring operations, the Aggregator shall maintain and examine digital records of all vehicles integrated with the Aggregator that shall be updated on a real-time basis by the Aggregator on https://vahan.nic.in/nrservices/. Maintaining updated copies of the following records pertaining to the Driver's vehicle (pursuant to due verification with the originals), regularly:
 - (a) Certificate of Registration;
 - (b) Certificate of Fitness:
 - (c) Permit of the vehicle;
 - (d) Chassis and engine numbers; and
 - (e) Commercial insurance policy covering third party risks as prescribed in the Act.
 - (f) Pollution under control certificate.
 - (g) Clearance of pending e-challans within a period of 2 months from the issuance of such e-challans.

9. Compliances with regard to the Aggregator's App and Website:

- (1)The App shall be formulated in a manner that is compliant with the applicable
- (2) The App shall be accessible in English and Hindi as the primary languages, for the Rider alongwith one official language of the relevant state where the official language is not Hindi. Additionally, the App shall be accessible in such language that is understandable by the Driver.
- (3) Ensuring that the in-app vulnerabilities are revealed to Indian Computer Emergency Response Team formed under the aegis of the Ministry of Electronics and Information Technology. Safety of the App shall be certified by a recognized cyber security firm.
- (4) Ensuring that the data generated on the App is stored on a server in India and that such stored data shall be for a minimum of 3 months and maximum of 24 months from the date on which such data is generated. This data shall be made available to the State Government as per due process of law. Any data related to customers shall not be disclosed without the written consent of the customer.



- (5) Ensuring that the details of daily trips operated by each vehicle, details of passengers commuting in each vehicle, origin and destination of each journey undertaken and the fare collected, shall be undertaken by a Driver and Rider shall be accessible on the App for a period of three (3) months from the date of such trip.
- (6) Ensuring transparency in its operations, including but not limited to, functioning of the App algorithm, proportion of fare payable to the Driver, incentives given to the Drivers, charges received from the Driver and such other information as may be notified by the State Government, by making disclosures on the Aggregator's Website and App and updating such disclosures, as per requirement.
- (7) Inclusion of a feature enabling the Rider to share the live location and status of his/ her ride after the ride booked through the App has commenced.
- (8) Ensuring that the picture of each Driver integrated with the Aggregator is clearly visible on the App.
- (9) Presence of the Website comprising details of the ownership, registered address, fare structure, services offered, consumer services telephone number and email address and such other details as may be needed.
- (10) Implementing a zero-tolerance policy on the use of drugs or alcohol applicable to any Driver, provide notice of the zero-tolerance policy on its website, as well as the procedure to report a complaint about a Driver when a passenger reasonably suspects that the Driver is under the influence of drugs or alcohol during the course of the ride. The Aggregator shall immediately Off board such Driver upon receipt of a passenger's complaint alleging violation of the zero-tolerance policy. The suspension shall last or continue during the period of investigation by the Aggregator.
- (11) Establishing a control room with 24x7 operations and ensuring that all the vehicles, on direction of the Aggregator, maintain uninterrupted contact with the control room. The control room shall be in a position to monitor the movements of all the vehicles on the directions of the Aggregator.
- (12) Establishing call centres with valid telephone number and operational email address displayed clearly on the App with 24x7 operations wherein assistance shall be provided to the Rider and/or the Driver in English and Hindi as the primary languages, for both the Driver and the Rider along with the option of an official language of the relevant state. These call centres shall be responsible for the following:
 - (a) To enable the Rider and/or Driver to contact the Aggregator's call centre in relation to issues concerning the ride, while the ride is in progress or after the completion of the ride for a period of 3 months as specified under sub-clause 4 above, by inclusion of a call feature on the App. The Aggregator shall also provide for the assigned Driver's direct contact number, to be available to the Rider and accessible for a period of 24 hours from when the ride was availed.



(b) To ensure timely and effective redressal of the Riders' grievances on receipt of any complaint concerning the ride/ the Driver/ the condition of the vehicle. Rider concerns pertaining to a ride and the Driver may be reported not beyond a period of 24 hours from when the ride was availed.

Provided that the complaint registered with the grievance redressal centre is criminal in nature, then the limitation period for filing such complaint shall be extended beyond the specified limit of 24 hours upto a maximum of 72 hours. In such scenario, the concerned Driver shall be Off-boarded from the Aggregator till such issue is not resolved.

Provided further that, in case of complaints against the Driver concerning violation of the provisions under the Act, the Driver shall be Off-boarded for a period of 2 days, from the day on which the complaint has been made.

- (13) Extending utmost cooperation with investigating authorities in relation to any untoward accident or incident involving jeopardizing a Rider's safety, which may have arisen due to action or inaction of the Driver on an assigned trip.
- (14) Ensure that city taxis, if seeking to integrate with the Aggregator, are permitted such integration. Provided these taxis are compliant to be integrated with the Aggregator as specified under Clause 7 above.

10. Compliances to Ensure Safety:

- (1) Ensuring appropriate functioning of the GPS installed in the vehicle and provide efficient resolution for any issues that may develop in its functioning;
- (2) Ensuring that the Driver plies the vehicle on the route assigned on the App and in non-compliance of the same, developing a mechanism wherein the app device indicates the fault to the Driver and the control room of the Aggregator immediately communicates with the Driver with regard to the same;
- (3) Ensuring safety of women employees and Drivers by introducing mechanisms to protect their rights, in compliance with the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013.
- (4) Enforcing a mechanism on the App to ensure that the identity of the Driver undertaking a trip is same as the one enlisted with the Aggregator requiring verification every time a trip is accepted.
- (5) Ensuring regular spot checks of vehicles integrated with the Aggregator by personnel authorized by such Aggregator.

11. Ride Pooling:

- (1) Aggregators may provide pooling facilities to Riders whose details and KYC are available who shall be travelling along the same route but with varied stoppages from one point to another under a virtual contract through the App.
- (2) Female passengers seeking to avail ride pooling shall also be provided the option to pool only with other female passengers.



- (3) The pooling facilities shall be available within certain kms of detour from the route assigned to be undertaken for a destination specified by the first Rider in such vehicle.
- (4) State Governments may, by way of notification, relax the abovementioned detour specifications in order to provide accessibility in urban agglomerations and areas beyond the limits of municipal corporations.

12. Non-discrimination policy to be followed by the Aggregator:

The Aggregator shall ensure that vehicles actually owned by the Aggregator are treated at parity with those vehicles which are not Aggregator owned, once such vehicles are integrated with the Aggregator.

13. Regulation of fares:

- (1) The city taxi fare indexed by WPI for the current year shall be the base fare chargeable to customers availing Aggregator service.
- (2) The base minimum fare chargeable to customers availing Aggregator services shall be, for a minimum of 3 kilometers to compensate for dead mileage and distance travelled and fuel utilized for picking up the customers.
- (3) The Aggregator shall be permitted to charge a fare 50% lower than the base fare and a maximum Surge Pricing of 1.5 times the base fare specified under Clause 13(1) hereinabove. This will enable and promote asset utilization which has been the fundamental concept of transport aggregation and also substantiate the dynamic pricing principle, which is pertinent in ensuring asset utilization in accordance with the market forces of demand and supply.
- (4) The Driver of a vehicle integrated with the Aggregator shall receive at least 80% of the fare applicable on each ride and the remaining charges for each ride shall be received by the Aggregator. The State Government may by way of a notification direct 2% over and above the fare towards the state exchequer for amenities and programmes related for Aggregator operated vehicles, which have been helpful in reducing traffic congestion to a great extent and subsequently reducing pollution. These amenities and programmes may include but not be limited to, state sponsored driver welfare programmes, road safety awareness workshops and activities, pollution control programmes, allotment of parking spaces in certain proportion of large parking areas for vehicles integrated with an Aggregator, electric charging infrastructure for electric vehicles and related matters.
- (5) In states where the city taxi fare has not been determined by the State Government, an amount of Rs. 25/30 shall be the base fare for the purposes of fare regulation under this Clause 12. Similar fare fixation shall be done by the State Government of other vehicles integrated by Aggregators within the relevant State.
- (6) For the purposes of motor cabs, fare regulation under this Clause 12 shall only be applicable for motor cabs not exceeding 4 meters of length of below engine



capacity of 1500cc diesel or petrol. Fare regulation provided under this Clause 12 shall not be applicable to electric vehicles.

(7) No passenger shall be charged for dead mileage (except when the distance for availing the ride is less than 3 kms as mentioned under Clause 13(2) hereinabove) and the fare shall be charged only from the point of boarding to the point of alighting.

14. Cancellation of Rides:

- (1) On cancellation of a booking by a Driver, subsequent to accepting a ride on the App, a penalty of 10% of the total fare not exceeding Rs. 100, shall be imposed, when such cancellation is made without such valid reason that shall be stipulated by the Aggregator on its Website and on the App.
- (2) On cancellation of a booking by a Rider, subsequent to booking a ride on the App, a penalty of 10% of the total fare not exceeding Rs. 100, shall be imposed, when such cancellation is made without such valid reason that shall be stipulated by the Aggregator on its Website and on the App. The said amount shall be divided between the Driver and the Aggregator in the same proportion as Clause 13(4) hereinabove.

15. Aggregation of non-transport vehicles by Aggregators:

- (1) In furtherance of the Central and State Government's objective of reduction in traffic congestion and automobile pollution, and effective asset utilizations, non-transport vehicle pooling may be provided by Aggregator unless prohibited by the State Government. Rationale for such prohibition shall be specified in writing and accessible on the transport portal of the State Government.
- (2) In addition to the compliances mentioned above in these Guidelines, as may be applicable, the following shall be complied with by the Aggregator seeking to aggregate non-transport vehicles:
 - (a) A maximum of four ride-sharing intra-city trips on a calendar day and a maximum of 2 ride-sharing inter-city trips per week shall be permitted for each vehicle with the driver, integrated with the Aggregator.
 - (b) The vehicle integrated under this Clause 15 shall obtain an insurance of at least Rs. 5 lakhs for the ride-sharers in the vehicle, other than the owner or driver integrated with the Aggregator.

16. Suspension of Aggregator License:

- (1) Suo moto or on a complaint made to the Competent Authority, subsequent to providing the Aggregator with an opportunity of being heard within fifteen (15) days from date of such complaint or Suo moto action, suspend the license for a period, by way of a reasoned order in writing, which shall not be less than 10 days and which shall not exceed 6 months at a time ("Suspension Order") if,-
 - (a) there exists a systemic failure by the Aggregator to ensure safety of the Rider and/or the Driver and the same may be evidenced by an analysis of quarterly Ratings with regard to the relevant parameter;



- (b) there exists repetitive instances of financial inconsistencies with regard to the fares charged to Riders, unjustified imposition of Surge Pricing, non-compliance with the proportionate division of fares between the Drivers and the Aggregator, unsubstantiated imposition of charges on the Drivers, all of which may be determined by Ratings and/or examination of the financial records pertaining to the Aggregator's operations, in compliance with powers granted to the State Government under Clause 18(1);
- (c) the Aggregator fails to comply with the contractual obligations towards the Drivers;
- (d) the Aggregator fails to comply with any of the requirements or conditions of these Guidelines amounting to minor, moderate or gross offences, as may be determined by the State Government. The following parameters may be considered by the State Government while categorising the offences of non-compliance with these Guidelines:
- (e) effect on health and safety of Riders and/or Drivers which may have been averted by complying with these Guidelines;
- (f) number of deaths or sever injuries to Riders and/or Drivers caused due to violation of safety standards by the Aggregator;
- (g) effect on Driver welfare and livelihood due to violation of contractual obligations;
- (h) severity of financial swindling;
- (i) and such other parameters as the State Governments may deem fit and appropriate.

PROVIDED that where the Aggregator is liable to be suspended and the Competent Authority is of the opinion that having regard to the circumstances of the case it would not be necessary or expedient to suspend the License, the Aggregator may pay a sum as decided by the States. This is notwithstanding the fine imposed against the Aggregator under Section 193 (2).

- (2) On completion of period specified in the Suspension Order the Aggregator shall by way of an undertaking in writing acknowledge the reasons for suspension as specified in the Suspension Order and undertake that the same stands rectified and will be therefrom complied with. Subsequent to this, the Competent Authority shall pass an order acknowledging the satisfaction of the Suspension Order and receipt of the undertaking and grant the Aggregator temporary permission to continue operations for a period which shall not be less than 2 months but not more than 6 months ("Probationary Period") while still withholding the Aggregator's License.
- (3) During the Probationary Period, the Aggregator shall continue operations and rectify the reasons causing the former suspension while ensuring compliance with these Guidelines in its entirety. Subsequent to the expiry of the Probationary Period the Competent Authority shall examine the



Ministry of Road Transport and Highways

Motor Vehicle Aggregator Guidelines-2020

operations of the Aggregator to ensure compliance with these Guidelines and rectification of the issues causing the former suspension.

- (4) If the Competent Authority stands satisfied pursuant to the examination at the end of the Probationary Period, the Competent Authority shall issue a no objection certificate (NOC) to the Aggregator and return the License, subsequent to which the Aggregator shall continue operations. If unsatisfied, a second Probationary Period of seven (7) days shall be granted for implementing the requisite rectifications.
- (5) If satisfied, a NOC shall be granted to the Aggregator subsequent to investigation after the expiry of seven (7) days and the License shall be returned. If the requisite rectifications remain unsatisfied, the Competent Authority may within fifteen (15) days, after giving an opportunity of being heard to the Aggregator, suspend the License for a period which shall not be less than forty-five days and not more than three months, specifying the reasons for continued suspension by way of a written order ("Continuing Suspension Order"). On receipt of a Continuing Suspension Order, the same procedure shall be followed as specified in this Clause 16(2), 16(3) and 16(4) above.
- (6) Without prejudice to an order of suspension passed by the Competent Authority, the security provided by way of bank guarantee may also be forfeited in part, depending upon the extent of the violation. It may be noted that if the security is forfeited, the same shall only be returned on the Aggregator receiving the License again and not during either of the Probationary Period.
- (7) Where a License is suspended, the Aggregator shall immediately stop all operations under the License till the time such suspension is revoked.

17. Cancellation of Aggregator License:

- (1) A showcause notice shall be issued to the Aggregator for cancellation of the Aggregator's License, if the Aggregator:
 - (a) has received more than three (3) suspensions within one financial year; or
 - (b) has failed to receive its License and NOC pursuant to a second examination of the Continuing Suspension Order; or
 - (c) is responsible for the commission of a gross offence as categorized by the State Government under Clause 16(1)(d) above.
- (2) The Competent Authority may within two (2) days of issuing the showcause notice provide an opportunity of hearing to the Aggregator and thereafter cancel the license.
- (3) Where a license is cancelled, the Aggregator shall immediately stop all operations under the license.



- (4) Without prejudice to an order of cancellation passed by the Competent Authority, the security provided by way of bank guarantee shall be forfeited in full.
- (5) The Aggregator may, at any time, voluntarily surrender the license for cancellation. On such surrender of the license, the security by way of bank guarantee if any shall be returned to the Aggregator after the payment of outstanding dues if any.

18. Appeal:

- (1) The Aggregator aggrieved by any order passed by the Competent Authority may, within 30 days of receipt of the order, appeal to the State Government or such other agency as may be notified by the State Government.
- (2) An appeal shall be in the form of a memorandum in duplicate setting forth the grounds for the appeal and shall be accompanied by the requisite fee and the certified copy of the order passed by the Competent Authority.

19. Powers and Responsibilities of the State Government:

- (1) The State Government shall be empowered to call for such information and documents from the Aggregator, as deemed fit to ensure compliance by the Aggregator with these Guidelines pursuant to prior written notice. This shall also include the power to investigate about the Drivers who have been Off boarded at more than one instance;
- (2) The State Government shall have the power to conduct search and investigation of the Aggregator's premises, as specified in Form I of these Guidelines, for the effective implementation of these Guidelines;
- (3) The State Government shall provide access to the VAHAN and SARATHI portal operated by the Ministry of Road Transport and Highways, Government of India to enable the Aggregator to update the details of vehicles and Drivers integrated with the App.
- (4) The State Government shall ensure complete confidentiality and secrecy of the documents and information obtained from the Aggregator under Clause 19(1) above and any such other information which it may call for.



20. Fee for Aggregator:

S. No.	Particulars	Amount in Rupees
1.	Grant of license	5,00,000
2.	Renewal of license	2,500
3.	Issue of duplicate license	2,500
4.	For noting change of address of the Licensee	2,500

21. Security Deposit for Aggregator:

S. No.	Particulars	Amount in Rupees
1.	Upto 100 buses or 1000 other motor vehicles	1,00,000
2.	Upto 1000 buses or 10000 other motor vehicles	2,50,000
3.	More than 1000 buses or 10000 other motor vehicles	5,00,000

FORM I

[See Clause 3(1)]

Application for the Grant of License for Aggregator under the Central Aggregator Guidelines, 2020

To,
The [Designation],
[Name of State] Competent Authority,
[Name of City]

I, the undersigned hereby apply for grant of a License for operation as an Aggregator under the Central Aggregator Guidelines, 2020.

1.	Name in full	
2.	Address of the main office	
3.	Number of branches and addresses, if any	
4.	 a. If a registered company, enclose a cop of certificate of incorporation registration along with a copy of memorandum of association. b. If a firm, enclose a copy of certificate of registration of the firm. 	/ f
5.	Name and contact details of Key Manageria Personnel or Authorized Signatory	1. 2. 3.
6.	Telephone number, website address and Email ID	
7.	Number of (type of vehicle) proposed to be operated. (Enclose a separate list containing vehicle numbers and permit particulars of each vehicle)	
8.	Details of GPS/ GPRS facility	
9.	Details of other infrastructure	
10.	Details of returns filed in the last three years. Enclose copies of financial statements of last three years	
11.	D-4-11 CC	Rs. 10,00,000
	Details of Security Deposit by way of Bank Guarantee in favour of Competent Authority	

I hereby declare that the information given above and other documents enclosed herewith are true to the best of my knowledge. I understand that if any information is found to be incorrect at any point of time, the Licence granted to me is liable to be cancelled,



besides initiating other legal action/actions against mo. I have good the control of the control
of the Central Aggregator Guidelines, 2019
of the Central Aggregator Guidelines, 2019, I accept and agree to abide by the same and
the reference statues and rules mentioned herein.

Place:

Date:

Signature of the Applicant/ Authorized Signatory (along with company seal, as applicable)

F. No. 16011/9/2019-T: 27th November, 2020

FORM II

[See Clause 3(2)]

Application for the Renewal of License for Aggregator under the Central Aggregator Guidelines, 2020

To,
The [Designation],
[Name of State] Competent Authority,
[Name of City]

I, the undersigned hereby apply for grant of a License for operation as an Aggregator under the Central Aggregator Guidelines, 2020.

1.	Name in full	
2.	Address of the main office	
3.	Number of branches and addresses, if any	
4.	a. If a registered company, enclose a cop of certificate of incorporation / registratio along with a copy of memorandum cassociation.	y n of
	 b. If a firm, enclose a copy of certificate of registration of the firm. 	е
5.	Name and contact details of Key Manageria Personnel or Authorized Signatory	1. 2. 3.
6.	Telephone number, website address and email id	3.
7.	Number of (type of vehicle) proposed to be operated (Enclose a separate list containing vehicle numbers and permit particulars of each vehicle)	•
8.	Details of GPS/ GPRS facility	
9.	Details of other infrastructure	
	Details of returns filed in the last three years. Enclose copies of financial statements of last three years	
	Details of Licence: a. Licence Number b. No. of suspensions, if any, and details thereof	
2.	Details of fee paid	Rs. 2,500
3.	Details of Security Deposit by way of Bank Guarantee in favour of Competent Authority	



I hereby declare that the information given above and other documents enclosed herewith are true to the best of my knowledge. I understand that if any information is found to be incorrect at any point of time, the Licence granted to me is liable to be cancelled, besides initiating other legal action/actions against me. I have gone through the provisions of the Central Aggregator Guidelines, 2019, I accept and agree to abide by the same and the reference statues and rules mentioned herein.

Place:

Date:

Signature of the Applicant/ Authorized Signatory (along with company seal, as applicable)

F. No. 16011/9/2019-T: 27th November, 2020

FORM III [See Clause 3(5)] Licence for an Aggregator

Address of the main office	
The state of the main office	
Addresses of the branches	
Telephone number, website address and email id	
Number of auto rickshaw/ e- rickshaw/ motor cab/ motor cycle or bus (as per the list enclosed by the Aggregator in Form I/II, as may be applicable)	
Particulars of the manner in which the Aggregator shall function	
Details of fee paid	
Details of bank guarantee	
	Telephone number, website address and email id Number of auto rickshaw/ e-rickshaw/ motor cab/ motor cycle or bus (as per the list enclosed by the Aggregator in Form I/II, as may be applicable) Particulars of the manner in which the Aggregator shall function Details of fee paid



Form IV [See Clause 3(7)] Application for the issue of Duplicate Licence

To,

[Name of State] Competent Authority, [Name of City]	
Sir/Madam,	
The Licence issued to [Name of the Licens Aggregator Guidelines, 2019 bearin lost/destroyed/completely written off/scircumstances.	
]	
I/We hereby declare that to the best of m suspended or cancelled under the provisions circumstances explained above are true.	y/our knowledge the Licence has not been of the Act or rules made thereunder and the
I/We do hereby apply for the issue of a duplic	ate Licence.
The written off/soiled/torn/mutilated Certific FIR filed against the loss of the Licence is encl	cate of registration in the
Place:	
Pate:	
Sign	ature of the Applicant/ Authorized Signatory (alongwith company seal, as applicable)